

TO/42/80

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                                  |
|------------------------|----------------------------------|
| Attorney Docket No.    | NEU-40                           |
| First Inventor         | Collins                          |
| Title                  | REDUCED-WAX MASCARA COMPOSITIONS |
| Express Mail Label No. | EL710838393US                    |

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S9864510  
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## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17) (submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
- Specification [Total Pages 15] (Preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets ]
- Oath or Declaration [Total Pages ]
  - a.  Newly unexecuted (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18.  If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-Part (CIP) of prior application No.: , filed .

Prior application information: Examiner Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 000027777 or  Correspondence Address below

Name: Philip S. Johnson, Esq.  
Address: Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003 USA

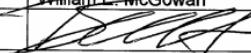
## 20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to William E. McGowan at:

Telephone: (732) 524-2197 Fax: (732) 524-2808

## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|      |                    |                 |
|------|--------------------|-----------------|
| NAME | William E. McGowan | Reg. No. 39,301 |
|------|--------------------|-----------------|

|           |   |
|-----------|---|
| SIGNATURE |  |
|-----------|---|

**FEE TRANSMITTAL**

| Complete if Known      |         |
|------------------------|---------|
| Application Number     |         |
| Filing Date            |         |
| First Named Inventor   | Collins |
| Group Art Unit         |         |
| Examiner Name          |         |
| Attorney Docket Number | NEU-40  |

**FEE CALCULATION**

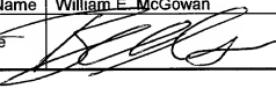
## CLAIMS AS FILED

| (1)                       | (2)                      | (3)          | (4)        | (5)                   |
|---------------------------|--------------------------|--------------|------------|-----------------------|
| FOR:                      | NUMBER FILED             | NUMBER EXTRA | RATE       | BASIC FEE<br>\$710.00 |
| TOTAL CLAIMS              | 24 - 20 =                | 4            | x 18.00    | \$ 72.00              |
| INDEPENDENT CLAIMS        | 1 - 3 =                  | 0            | x 80.00    | \$ 0.00               |
| MULTIPLE DEPENDENT CLAIMS | <input type="checkbox"/> | N/A          | \$270.00   |                       |
|                           |                          |              | TOTAL FEES | \$ 782.00             |

**METHOD OF PAYMENT**

Please charge Deposit Account No. 10-0750/NEU-40/WEM in the amount of \$782.00. Three copies of this sheet are enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/NEU-40/WEM. Three copies of this sheet are enclosed.

| SUBMITTED BY:         |   | Complete (if applicable) |  |
|-----------------------|---|--------------------------|--|
| Typed or Printed Name | William E. McGowan  | Reg. No. 39,301          |  |
| Signature             |  | Date: August 24, 2001    | Deposit Account No. 10-0750/NEU-40/WEM |

|  |  |                         |                                     |
|--|--|-------------------------|-------------------------------------|
| <b>REQUEST AND CERTIFICATION<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> |  | First Named<br>Inventor | Collins                             |
|  |  | Title                   | REDUCED-WAX MASCARA<br>COMPOSITIONS |
|  |  | Atty Docket<br>Number   | NEU-40                              |

I hereby certify that the invention disclosed in the attached application **has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing**. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 24, 2001  
Date

  
Signature

William E. McGowan  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(ii)).**

00014238000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carol Collins

For : REDUCED-WAX MASCARA COMPOSITIONS

Express Mail Certificate

"Express Mail" mailing number: EL710838393US

Date of Deposit: August 24, 2001

000280-1576650  
I hereby certify that this complete application, including 15 specification pages, 24 claims, transmittal cover sheet and Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)

Karen Hall-Morgan

(Signature of person mailing paper or fee)